

November 16, 2000

**Publication 1346 Part I - File Specifications Changes #04**

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PATS Testing

Changes to ERCs 672, 673, 674, 683 and 685 will not be implemented for PATS until November 21, 2000.

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The changes are identified by two vertical bars in the right margin (||). deletions are identified by a hyphen followed by two vertical bars (-||).

ERC 110	Criteria wording was clarified.
ERC 672	Criteria was modified.
ERC 673	ERC was deleted.
ERC 674	ERC was deleted.
ERC 683	ERO/Paid Preparer ERO PIN was added to criteria.
ERC 684	Paper Document Indicator 5 was added to criteria.
Section 3.02.1	The correct Field Description for Field 0117 (Date of Birth Validity Code) value 2 is "Primary DOB Invalid".

- 110   ○ Form 1040 - Form 1040 - If both Schedule D and Schedule J are present, then (SEQ 915) of Form 1040, "Tax" must equal **or be greater than** (SEQ 220) of Schedule J, "Subtract Line 21 from Line 17".
  
- 672   ○ Tax Form - If Primary Taxpayer Signature (SEQ 1321) is significant on other than an On-Line or TeleFile return, then ERO/Paid Preparer ERO PIN (SEQ 1339) must be significant.  
  
           For On-Line returns - When Primary Taxpayer Signature (SEQ 1321) or Spouse Signature (SEQ 1324) is significant, then ERO/Paid Preparer ERO PIN (SEQ 1339) cannot be significant.
  
- 673   ○ **Reserved**
  
- 674   ○ **Reserved**
  
- 683   ○ Tax Form - When a Self-Select PIN is present, the first six positions of the **ERO/Paid Preparer ERO PIN (SEQ 1339)** must equal the Electronic Filer ID Number (EFIN) in the Declaration Control Number (DCN)(11 digits total).  
  
           **When the ERO/Paid Preparer ERO PIN (SEQ 1339) is significant, the first 6 numerics must equal the EFIN in the Declaration Control Number (DCN) in the return record.**
  
- 684   ○ Tax Form - If Self-Select PIN is present in the Primary Taxpayer Signature (SEQ 1321), then Paper Document Indicator 1 (SEQ 150), or Paper Document Indicator 2 (SEQ 160), or Paper Document Indicator 3 (SEQ 170), or Paper Document Indicator 4 (SEQ 180) **or Paper Document Indicator 5 (SEQ 285)** of Summary Record cannot be significant.

### Section 3 - Acknowledgement File Format

## **.02 Acknowledgment File Record Layouts**

1. ACK KEY Record - Acknowledgment File Key Record

Field No.	Identification	Form Ref.	Length	Field Description
	Byte Count		4	"0120"
	Start of Record Sentinel		4	Value "*****"
0000	Record ID		6	Value " ACKbbb"
0010	Filler		2	Blank
0020	Taxpayer Identification Number		9	N (Primary SSN)
0030	Return Sequence Number		16	Numeric ETIN(5), Transmitter's Use Code(2), Julian Day(3), Trans Seq Num(2), Seq Num for Return(4)
0040	Expected Refund or Balance Due		12	Refund or Balance Due from Applicable Return
0050	Acceptance Code		1	"A" = Accepted "R" = Rejected "D" = Duplicated Return "T" = Transmission Rejected
0060	Duplicate Code		3	"D" = Duplicate DCN or zero "P" = Duplicate Primary SSN or zero "S" = Duplicate Spouse SSN or zero
0065	Self-Select PIN Presence Indicator		1	"Y" = PIN present "N" = PIN not present " " ( blank) = rejected return
0070	EFT Code		1	blank
0080	Date Accepted		8	DT Format = YYYYMMDD
0090	Return DCN		14	N
0100	Number of Error Records		2	N Range 00-96

## ACK KEY

## Acknowledgment File Key Record

Field Identification No.	Form Ref.	Length	Field Description
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0110	Filler	13	Reserved
0115	Payment Acknowledgment Literal	15	"PYMNT RQST RVCD" or blank
0117	Date of Birth Validity Code	1	"0" = DOB Validation Not Required "1" = All DOB(s) Valid "2" = Primary DOB <b>Invalid</b> "3" = Spouse DOB Invalid "4" = Both DOBs Invalid
0119	Filler	4	Blank
0120	Debt Code	1	"N" = None "I" = IRS Debt "F" = FMS Debt "B" = IRS and FMS debt or blank
0130	State Packet Code	2	blank or valid state code
	Record Terminus Character	1	Value "#"